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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.
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09/193,565 11/17/98 DRUMMOND

J D1077+2

EXAMINER

TM02/0416

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ELISCA, P

ART UNIT

PAPER NUMBER

2161

DATE MAILED:

04/16/01

Please find below and/or attached an Office communication concerning this application or proceeding.

Commissioner of Patents and Trademarks

Office Action Summary

Application No.
09/193,565

Applicant(s)

Drummond et al.

Examiner

Pierre Eddy Elisca

Group Art Unit
2161



☒ Responsive to communication(s) filed on Nov 17, 1998

☐ This action is **FINAL**.

☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11; 453 O.G. 213.

A shortened statutory period for response to this action is set to expire THREE month(s), or thirty days, whichever is longer, from the mailing date of this communication. Failure to respond within the period for response will cause the application to become abandoned. (35 U.S.C. § 133). Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

Disposition of Claims

☒ Claim(s) 1-12 is/are pending in the application.

Of the above, claim(s) none is/are withdrawn from consideration.

☐ Claim(s) _____ is/are allowed.

☒ Claim(s) 1-12 is/are rejected.

☐ Claim(s) _____ is/are objected to.

☐ Claims _____ are subject to restriction or election requirement.

Application Papers

☒ See the attached Notice of Draftsperson's Patent Drawing Review, PTO-948.

☐ The drawing(s) filed on _____ is/are objected to by the Examiner.

☐ The proposed drawing correction, filed on _____ is ☐ approved ☐ disapproved.

☐ The specification is objected to by the Examiner.

☐ The oath or declaration is objected to by the Examiner.

Priority under 35 U.S.C. § 119

☐ Acknowledgement is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d).

☐ All ☐ Some* ☐ None of the CERTIFIED copies of the priority documents have been

☐ received.

☐ received in Application No. (Series Code/Serial Number) _____.

☐ received in this national stage application from the International Bureau (PCT Rule 17.2(a)).

*Certified copies not received: _____

☐ Acknowledgement is made of a claim for domestic priority under 35 U.S.C. § 119(e).

Attachment(s)

☒ Notice of References Cited, PTO-892

☒ Information Disclosure Statement(s), PTO-1449, Paper No(s). 6

☐ Interview Summary, PTO-413

☒ Notice of Draftsperson's Patent Drawing Review, PTO-948

☐ Notice of Informal Patent Application, PTO-152

--- SEE OFFICE ACTION ON THE FOLLOWING PAGES ---

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Art Unit: 2161



Examiner Pierre Eddy Elisca

United States Department of Commerce

Patent and Trademark Office

Washington, D. C. 20231

DETAILED ACTION

1. This office action is in response to application serial number 09/193,565, filed on 11/17/1998.

2. Claims 1-12 are presented for examination.

Claim Rejections - 35 USC § 102

3. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless --

(e) the invention was described in a patent granted on an application for patent by another filed in the United States before the invention thereof by the applicant for patent, or on an international application by another who has fulfilled the requirements of paragraphs (1), (2), and (4) of section 371© of this title before the invention thereof by the applicant f r patent.

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4. Claims 1-6 and 10-12 are rejected under 35 U.S.C. 102 (e) as being anticipated by Anderson et al. (U.S. Pat. No. 5,706,442).

As per claims 1, 10-12, Anderson discloses a system/method for accessing recent financial information from various financial services providers (which is equivalent to Applicant's claimed invention wherein said an automated transaction machine, see, abstract), including:

at least one type of transaction function device, wherein the type transaction function device is selectively operative to carry out a transaction function (see., abstract, lines 2-5, or clients/servers); a computer, wherein the computer is in operative connection with the transaction function device (see., abstract, lines 2-5, or clients/servers, clients/servers can also be or call computer, node, processor, or module, col 2, lines 33-67, fig 1);

software executable in the computer, wherein the software includes a browser, wherein the computer operates the browser to access an HTML document responsive to the type of the transaction function device in the machine (see., abstract , col 2, lines 33-67, col 4, lines 45-67, figs 1 and 2).

As per claims 2, 4, 5, Anderson discloses the claimed limitation, wherein the machine includes a plurality of types of transaction function devices, and wherein the computer operates the browser to access the document by generating an address and wherein at least a portion of the address is indicative of at least one of the types of transaction function devices included in the machine (see., figs 1 and 2, col 4, lines 45-67, col 5, lines 1 and 2, clients/servers transactions).

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As per claims 3, 6, Anderson discloses the claimed limitation, wherein the type transaction function device includes a depository (see., col 3, lines 7-16, col 5, lines 3-5, or firewall for security of applications).

As per claims 11, 12, Anderson discloses the claimed limitation, wherein the accessing step includes accessing the first document at a first address, or accessing the second document at a second address (see., abstract, col 2, lines 55-67, col 4, lines 7-27, TCP/IP).

Claim Rejections - 35 USC § 103

5. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

6. Claims 7-9 are rejected under 35 U.S.C. 103 (a) as being unpatentable over Anderson et al. (U.S. Pat. No. 5,706,442) in view of Official notice.

As per claim 7, Anderson discloses a system for accessing recent financial information from various financial services providers (which is equivalent to Applicant's claimed invention wherein said an automated transaction machine, see, abstract), including:

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at least one type of transaction function device, wherein the type transaction function device is selectively operative to carry out a transaction function (see., abstract, lines 2-5, or clients/servers); a computer, wherein the computer is in operative connection with the transaction function device (see., abstract, lines 2-5, or clients/servers, clients/servers can also be or call computer, node, processor, or module, col 2, lines 33-67, fig 1);

software executable in the computer, wherein the software includes a browser, wherein the computer operates the browser to access an HTML document responsive to the type of the transaction function device in the machine (see., abstract , col 2, lines 33-67, col 4, lines 45-67, figs 1 and 2). But he fails to specifically disclose an output device (such as a printer). However, **Examiner hereby takes Official notice** that output device or a printer is notoriously well known in the art, and therefore, it would have been obvious to a person of ordinary skill in the art at the time the invention was made to include an output device or a printer because an output device or a printer is a computer peripheral that puts text or a computer-generated image on paper or on another medium, such as a transparency.

As per claims 8, 9, Anderson discloses the claimed limitation, wherein the one document includes instructions to operate at least one device, and wherein the computer is operate responsive to the one document to operate the device (see., col 4, lines 45-67, col 5, lines 1 and 2).

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Conclusion

7. Any inquiry concerning this communication from the examiner should be directed to Pierre Eddy Elisca at (703) 305-3987. The examiner can normally be reached on Tuesday to Friday from 6:30AM. to 5:00PM.

If any attempt to reach the examiner by telephone is unsuccessful, the examiner's supervisor, James Trammell can be reached on (703) 305-9769.

Any response to this action should be mailed to:

Commissioner of patents and Trademarks

Washington, D.C. 20231

or faxed to:

(703) 308-9051, (for formal communications intended for entry)

OR:

(703) 305-3718 (for informal or draft communications, please label

"PROPOSED" or" DRAFT")

Hand-delivered responses should be brought to Crystal Park II, 2121 Crystal Drive, Arlington. VA., Sixth floor (receptionist).


Pierre Eddy Elisca

Patent Examiner

April 12, 2000


JAMES P. TRAMMELL
SUPERVISORY PATENT EXAMINER
TECHNOLOGY CENTER 2100